



# Generative AI/ML and AI governance for the public sector

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# AI/ML/GenAI hierarchy



## Artificial intelligence (AI)

Any technique that allows computers to mimic human intelligence using logic, if-then statements, and machine learning



## Machine learning (ML)

A subset of AI that uses machines to search for patterns in data to build logic models automatically



## Deep learning (DL)

A subset of ML composed of deeply multi-layered neural networks that perform tasks like speech and image recognition



## generative AI

Powered by large models that are pretrained on vast corpora of data and commonly referred to as foundation models (FMs)

# What is generative AI?

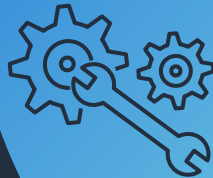
AI that can produce original content close enough to human generated content for real-world tasks



Powered by foundation models pre-trained on large sets of data with several hundred billion parameters



Tasks can be customized for specific domains with minimal fine-tuning



Applicable to many use cases like text summarization, question answering, digital art creation, code generation, etc.



Reduces time and cost to develop ML models and innovate faster

# Foundation model use cases



**Productivity**  
Text generation



**Chat**  
Virtual assistant



**Summarization**  
Text extraction



**Search**



**Code generation**



**Image generation**



**Image classification**

# What could go wrong?



## Hallucinations

Answers that are factually incorrect, irrelevant, or nonsensical because of limitations in their training data and architecture

**“The world record for crossing the English Channel on foot is 15 hours”**



## Bias

Answers that display discriminatory behavior resulting in prejudiced or unequal treatment of a particular group or groups

**“Generate a picture of a person cleaning” returns overwhelmingly women**



## Copyright and IP

The rights of content creators from whom training data is collected remains uncertain and is currently being challenged

**Model creators getting sued for alleged improper use of photos**

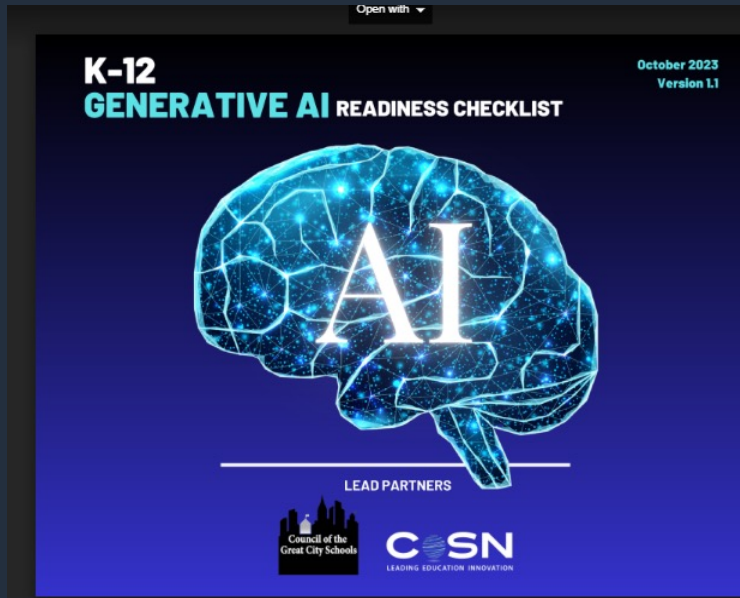


## Security and privacy

Some model providers use and store data for training purposes; entire end-to-end data pipelines require security and data privacy controls

**Engineers accidentally releasing source code by putting it into ChatGPT for debugging**

# Emerging Regulatory Environment



ARTIFICIAL INTELLIGENCE

## Pennsylvania, Virginia Governors Issue AI Executive Orders

HILL

News

Policy

Business

Health

Opinion

Events

Jobs

Hill TV



ADMINISTRATION

## Biden issues sweeping executive order on AI

EdW

CLASSROOM TECHNOLOGY

## The 93 Questions Schools Should Ask About AI



By [Alyson Klein](#) — October 17, 2023 ⌚ 2 min read

# The importance of using AI responsibly

Consider how critical it is to use AI responsibly for reducing risks and deliver value comprehensively, at scale, while keeping the AI logic equitable and unbiased

# Risks impacting organizations

## Reputational impact

Poor organization perception; erodes

## Revenue loss

Diminished credibility and trust

## Regulatory repercussions

Legal penalty or restrictions resulting from

“[Organizations] fail to focus on ethical, social, and regulatory implications, leaving themselves vulnerable to potential missteps when it comes to data acquisition and use, algorithmic bias, and other risks, and exposing themselves to social and legal consequences.”

HBR's Year in Business and Technology: 2021  
referencing McKinsey & Company article “Ten Red Flags Signaling Your Analytics Program Will Fail”

and people

legal ramifications



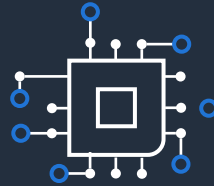
# A multi-disciplinary problem



**Economics**



**Moral  
philosophy**



**Technology**



**Law**



**Social  
science**

Responsible AI is a complex, multi-disciplinary problem, blending requirements across a range of specialist fields

Although some organizations have begun to establish a basic awareness of the problems associated with responsible AI, few have access to the requisite skills or experience to tackle this problem in a comprehensive manner

# Pillars of responsible AI

## Value alignment

Systems should be designed and used in ways that align with the organization mission, social norms, and legal regulations



## Inclusion

Inclusion of diverse and unique skills, experiences, perspectives, and cultural backgrounds



## Training and education

Appropriate knowledge sharing and education to understand purpose, use, and impact



## Accountability

Structured, maintaining human involvement and responsibility for design, development, decision processes, and outcomes



## Data privacy and protection

Protects the quality and integrity of data used as well as its relevance, access, and processing



## Fairness

Systems must be designed to minimize bias and promote inclusive representation



## Transparency and explainability

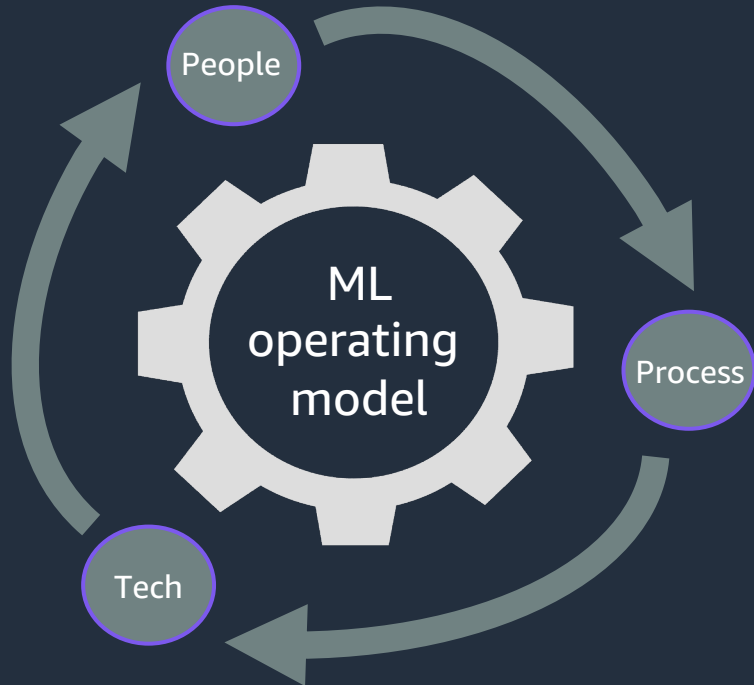
Understanding how data is used and how decisions and outcomes are made understandable to a human



# Inclusion matters

- Improves organization performance
- Fuels innovation
- Bridges gaps to address inadvertent access from other cultures
- Avoids more errors

# Benefits of building responsibly



Accelerate adoption



Institute appropriate governance structure



Align AI risk management with broader risk efforts



Develop people resources and skills



Build operational capability



Drive inclusive innovation



**Technological advancement must respect the rule of law, human rights, and dignity, as well as our shared values of inclusivity, privacy, and fairness**





# Thank you!

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